Surface Nanofabrication in Photosensitive Polymers at the diffraction limit of light and down to 47 nm by Metal Tip-Enhanced Near Field light: Light Induced Nanomovement of Polymers

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This paper discusses surface nanofabrication in azo-polymers. Nanoscale polymer movement is induced by a tightly focused laser beam in an azo-polymer film just at the diffraction limit of light. The deformation pattern which is produced by photoisomerization of the azo dye is strongly dependent on the incident laser polarization and the longitudinal focus position of the laser beam along the optical axis. The anisotropic nanofluidity of the polymer film and the optical gradient force played important roles in the light induced polymer movement. We also explored the limits of the size of the photo-induced deformation, and we found that the deformation depends on the laser intensity and the exposure time. The smallest deformation size achieved was 200 nm in full width of half maximum; a value which is nearly equal to the size of the diffraction limited laser spot. Beyond the limit of light diffraction, a nano protrusion was optically induced on the surface of the films by metal tip enhanced near-filed illumination. A silver coated tip was located inside the diffraction limited spot of a focused laser beam (460 nm), and an enhanced near-field, with 30 nm light spot, was generated in the vicinity of the tip due to localized surface plasmons. The incident light intensity was carefully regulated to induce surface nanodeformation by such a near-field spot. A nano protrusion with 47 nm full width of half maximum and 7 nm height was induced with a resolution beyond the diffraction limit of the light. The protrusion occurs because the film is attracted towards the tip end during irradiation. At the top of the protrusion, an anisotropic nanomovement of the polymer occurs in a direction nearly parallel to the polarization of the incident light, and suggests the existence at the tip end of not only a longitudinal, i.e., along the tip long axis, but also a lateral component of the electric field of light. The azo-polymer film helps map the electric field in the close vicinity of the tip.

I- Introduction:

Light-induced patterns of surface deformations in azobenzene containing polymer films have attracted much attention because of possible applications in optical data storage and in micro/nano fabrication, and it is well known that such patterns reflect the state of the incident light polarization and the light intensity distribution [1-5]. Trans ↔ cis photoselective isomerization and molecular reorientation play important roles in the deformation process. Since photoisomerization was shown to enhance molecular mobility far below the glass transition temperature (Tg) of azo-polymers in the beginning of the past decade [6-9], considerable exploration of sub-Tg photo-induced molecular movement was performed especially targeting polymer structural effects, including Tg, the free volume and free volume distribution, the mode of the attachment of the chromophore to a rigid or flexible chain, the molecular weight, and so on [10]. Light induced mass movement in azo polymers, i.e. surface relief gratings (SRGs) [8,9] triggered much studies to understand the mechanism of polymer migration, and most of the studies have focused on SRGs which are fabricated by the interference pattern of two coherent laser beams [11-14]. Yet, there are few reports on surface deformations that are induced by a single focused laser beam [15-17]. To fabricate deformation structures with high spatial resolution, a small irradiation spot is required; a feature which can be achieved by focusing the laser

beam by using a high numerical aperture (N.A.) objective lens. In this paper, we first report on surface deformations of azo polymers with a resolution approaching 200 nm by irradiation with a single tightly focused laser beam with a high N.A. objective lens (N.A. = 1.4). We discuss the effect of the incident light polarization and the position of the laser focus on the deformation pattern. In particular, we found that the deformation pattern is strongly dependent on the z- position of the focused laser spot. In addition to the well known trans ↔ cis surface deformation, it will be shown that a gradient force parenting to laser trapping pulls the polymer towards the laser focus. Then we present a systematic study exploring the limits of the size of photo-induced deformation by changing the irradiation intensity and the exposure time.

To further reduce the size of the photo-induced surface deformation down to few tens of nanometers, a near-filed optical microscope with an aperture type probe, i.e., tapered optical fiber probe, can be used [18-20]. Near-field optics can confine photons to several tens of nm near the probe tip end and induce nano-scale deformation on the film surface. An apertureless type probe, i.e., metal coated tip which principally generates the smaller light spot than the aperture type probe has also been used [5,21-23]. There are few reports on the mechanism of the near-field surface deformation because efforts were mainly devoted to making smaller fine structures on the surface of polymer films for nano fabrication. Recent reports showed surface deformations that are induced in an azo-polymer film by a combination of optical far- and near- field components of the irradiation light with a high irradiation intensity (typically, 30 KW/cm^2) [4]. In addition, irradiation with high laser intensities bleaches of the azo dyes and produce deformation patterns that are different from those of photo-induced mass movement; a feature which adds complexity to the formation and the understanding of the mechanism of the optical near-field induced surface deformation.

In this paper, we also report on surface deformations of azo polymers by tip enhanced near-filed, in which the incident laser power was chosen to induce the deformation by the near-field component only, wherein the far-field component had no contribution to the observed surface deformation. We compared the induced deformation patterns with and without tip, and found that a nano protrusion was induced when the tip was inside the laser focus (vide infra). We also found the deformation pattern was dependent on the incident light polarization, a feature which implies the presence of not only the electric field parallel to the tip axis (Ez) but also the one nearer to incident light polarization (Ex) under the tip end. We will go on to discuss near-field nano fabrication.

II- Nanofabrication by a tightly focused laser beam

We prepared 100 nm thin films of poly(Disperse Red 1 methacrylate) (PMA-DR1, product No. 579009, Aldrich; Tg= 82 _C) by spin-casting from a chloroform solution. The remaining solvent was removed by heating the films for an hour at 100 _C. The chemical structure and the absorption spectrum of the film, i.e., trans-DR1 are shown in Fig. 1. Disperse Red 1 (DR1) is a nonlinear optical azo dye which is well known for its trans ↔ cis photoisomerization and for its ability to undergo efficient orientation and trigger important polymer movement when it is excited by polarized light [1].

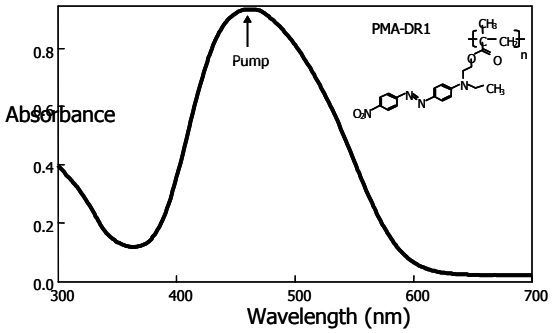

Figure 1: Chemical structure (inset) and absorption spectrum of the trans-DR1-PMA thin film. The wavelength of excitation is indicated.

The orientation effect is due to the highly anisometric nature of its polarizability tensor (rodlike molecule) [24]. The irradiation light source was a linearly polarized 460 nm light from a diode pumped frequency doubled laser (Sapphire 460 LP, Coherent Japan). The wavelength of the irradiation laser corresponds to the maximum absorption band of the film sample. The laser beam was focused by an objective lens (N.A. = 1.4) (Plan Apo 60_, Nikon). The diffraction limited spot diameters in the lateral (X or Y) and longitudinal (Z) axes are ~ 400 nm and 1.0 μm, respectively. Computer controlled piezo stages (P-517 for X and Y axes and P-721 for Z axis, Physik Instruments (PI)) were used to control the position of the focused laser spot in three dimensions. The induced surface deformation of the films was measured by an atomic force microscope (AFM) (SPA-400, SEIKO Instruments Inc.). The AFM was operated in the tapping mode using a Si cantilever to eliminate the mechanical deformation of the films by the cantilever itself.

Figure 2 shows AFM images of the surface deformation induced by (a, b) linear and (c) circular polarizations. The polarizations were controlled by half and quarter wave plates. The irradiation intensity and the exposure time were 12.5 mw/cm^2 and 30 s, respectively, and the laser beam was focused on the film surface.

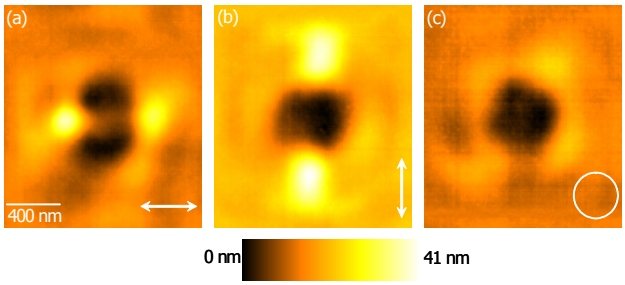

Figure 2: AFM images of the deformation induced by a tightly focused laser beam polarized (a) horizontally, (b) vertically, and (c) circularly, respectively.

Irradiation with linearly polarized light induced the deformation pattern shown in Fig. 2 (a) and (b). It is clearly shown in this figure that the polymer moved along the polarization direction from the center to the outside of the focused spot, thus producing two side lobes along the polarization direction and a pit at the center. Indeed this polarization-dependent deformation was confirmed by an experiment in which the polarization direction of the irradiation light was rotated through an angle of 90 degrees and the surface relief followed the polarization of the light (see Fig. 2 (a) versus (b)). In contrast to irradiation with linear polarization, irradiation with circularly polarized light induced a deformation pattern in which the polymer moved from the center to the outside of the focused laser spot, thus forming a doughnut shape pattern (Fig. 2 (c)). For both linear and circular polarizations, the polymer migrates in the direction of the light gradient from high to low light intensity regions, and the polarization dependence demonstrates that the light-induced polymer movement is anisotropically photo-fluidic [5].

The observed polarization dependence is consistent with the one obtained after irradiation with a low N.A. lens [15]. When a laser beam is tightly focused by a high N.A. objective lens, a non negligible electric field E_z component is created along the optical axis. The intensity distributions corresponding to E_x, E_y, and E_z at the focal position are different, and shown in Fig. 3, and they should lead to different deformation patterns. However, in our experimental conditions, only E_x contributes appreciably to the deformation. With N.A. = 1.4 and wavelength = 460 nm, the maximum intensity corresponding to E_z and E_y are 7 and 200 times smaller than that of E_x, respectively.

Figure 4 shows AFM images of the photo-induced deformation which have been obtained by changing the Z-position of the focused laser spot. The Z-position was controlled by the z-axis piezo stage which was attached to the objective lens. The irradiation started 500 nm under the film surface (Z = -500 nm), then the Z-position was moved to upper positions with an interval of 100 nm, and the next irradiation was done at a different lateral (X-Y) position. This procedure was repeated until the Z-position reached 500 nm upper the film surface (Z = +500 nm).

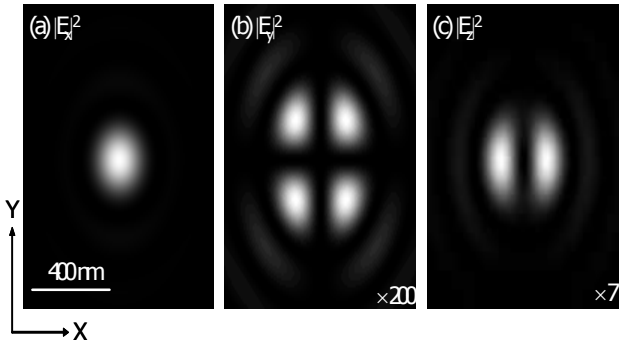

Figure 3: Calculated distributions of squared electric field components created by a tightly focused linearly polarized laser beam. The each components of electric field of (a) Ex, (b) Ey, and (c) Ez are shown. The polarization direction is X, Z is perpendicular to the film, and Y is perpendicular to both X and Z. The distribution was calculated assuming a refractive index of the surrounding medium equal to 1.5.

For each irradiation, the irradiation intensity and the exposure time were 12.5 mw/cm^2 and 60 s, respectively. When the Z-position was just on the film surface (Z = 0 nm), the deformation pattern was the same as the one shown in Fig 2 (a) or (b). It is interesting to note that at distances larger than 200 nm above the film surface in air, the polymer formed a protrusion coming out towards the center of the laser focus and suggesting the existence of a gradient force [25] that pulls the polymer towards the region of maximum intensity (see Fig. 5). This is optical trapping of a viscoelastic polymer showing nanoelasticity over 20 nm; i.e. the maximum height of the protrusion obtained at z= + 500 nm. For distances between 200 and 0 nm, the overlap of the laser intensity and the film are large enough to produce dips at the center as explained above. When the laser is focused into the glass substrate, there is no protrusion formed, because the polymer movement is blocked by the substrate.

In a systematic set of experiments, we studied the dependence of the size of the photo-induced deformation on the intensity of the irradiation light and the exposure time. In those experiments, the irradiation light is linearly polarized and focused by a 1.4 N.A. objective lens. The deformation pattern was studied just at the laser focus, i.e. Z = 0 nm, for three irradiation intensities (6.25, 12.5, 62.5 mW/cm^2), and exposure times according to the series 1 to 500 s for 6.25 and 12.5 mW/cm^2, and 1 to 100 s for 62.5 mW/cm^2. The deformation patterns obtained at all intensities at all times of irradiation were the same, but the size of the deformation was different. Figure 6 shows the dependence of the height and the full width at half maximum (FWHM) of deformation pattern along the

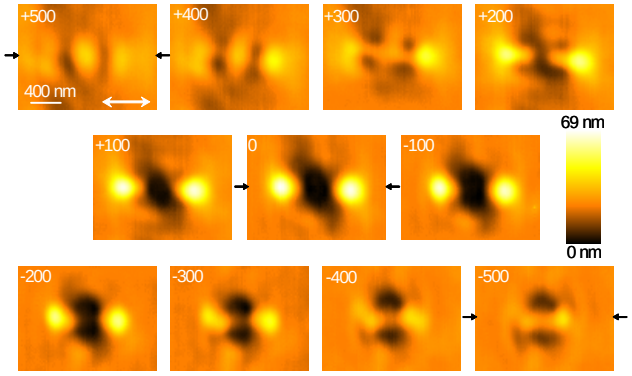

Figure 4: AFM images of the surface deformation induced by changing the Z-position of the focused laser spot. The Z-position was varied from -500 nm to +500 nm with an interval of 100 nm. The values inside each figure represent the Z-position of the focus (unit is nm). The polarization direction is indicated.

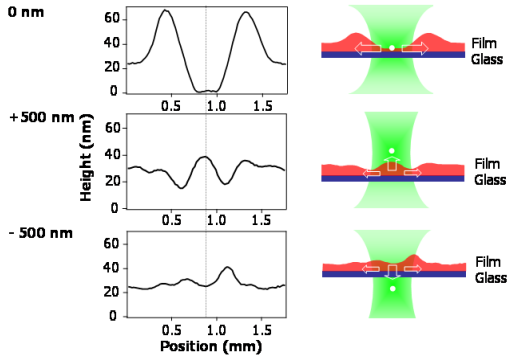

Figure 5: (Left column) Line plots of the surface deformation at (a) Z = +500 nm, (b) Z = 0 nm, and (c) Z = -500 nm. The positions of the each plot correspond to the directions which are between the arrows indicated in Fig. 4. (Right column) Schematics describing the relationship between the Z-position of the focus and the film surface. The arrows oriented laterally and longitudinally in these schematics indicate the direction of the anisotropic photo-fluidity and the optical gradient force, respectively.

direction parallel to the light polarization on the irradiation intensity and the exposure time. The height is defined as the difference between the top of the side lobes and the bottom of the central pit as shown in Fig. 6. As is can be seen as well from this figure, the rate of the deformation of the height and FWHM decreased with the increasing irradiation dose, and the higher the irradiation intensity, the faster the increase of both the height and FWHM. The height increases more rapidly than FWHM which needs more time to reach saturation. The height increases rapidly at small irradiation doses, and saturates at larger irradiation doses near 90 nm, a value which corresponds to the film thickness. The minimum FWHM of the fabricated pattern is about 200 nm; a value which corresponds to the size of the diffraction limited laser spot.

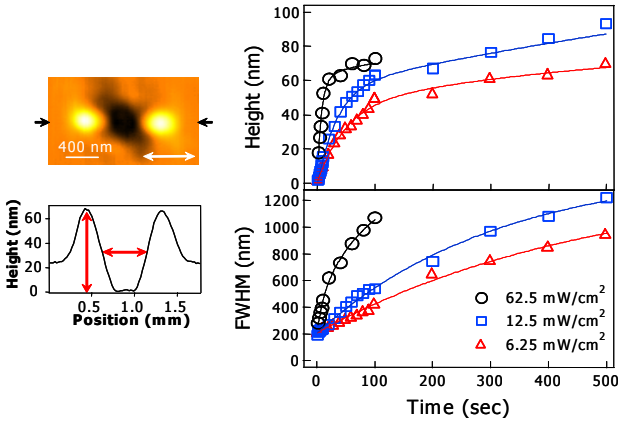

Figure 6: Size dependence of the surface deformation on the irradiation intensity and the exposure time. A typical deformation pattern and the corresponding line pot are shown in the left of the figure and the definitions of the height and FWHM are indicated. Scatters are experimental data, and solid lines are exponential empirical theoretical fits.

III- Near-field nanofabrication beyond the diffraction limit of light

We prepared 50 nm thin films of poly(Disperse Red 1 methacrylate) (PMA-DR1, product No. 579009, Aldrich; T_g= 82 _C) by spin-casting from a chloroform solution. The remaining solvent was removed by heating the films for an hour at 100 _C. The chemical structure and the absorption spectrum of the film, i.e., *trans*-DR1 are shown in Fig. 1. Disperse Red 1 (DR1) is a nonlinear optical azo dye which is well known for its trans ↔ cis photoisomerization and for its ability to undergo efficient orientation and trigger important polymer movement when it is excited by polarized light [1].

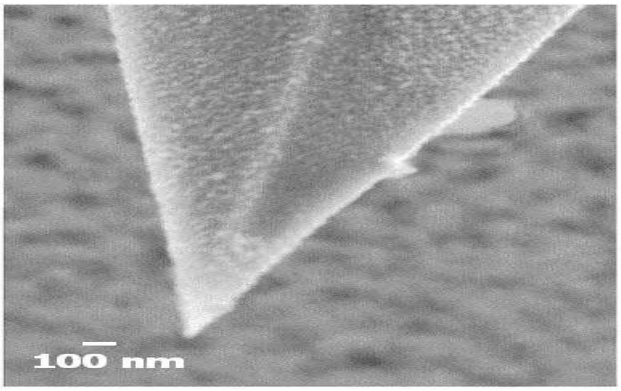

Figure 7: SEM image of the silver coated AFM tip.

The orientation effect is due to the highly anisometric nature of its polarizability tensor (rodlike molecule). The irradiation light source was a linearly polarized 460 nm light from a diode pumped frequency doubled laser (Sapphire 460 LP, Coherent Japan). The wavelength of the irradiation laser corresponds to the maximum absorption band of the film sample. The laser beam was focused by an objective lens (N.A. = 1.4) (Plan Apo 60 x, Nikon). An atomic force microscope (AFM) tip (Silicon Cantilever CSG01, NT-MDT) was covered by silver with a vacuum evaporator. The diameter of the tip end was found to be 30 nm by using a scanning electron microscope (see Fig. 7). The tip was approached to the diffraction limited focused spot on the film by an AFM (Bioprobe, Park Scientific Instruments) operating in a contact mode, and the enhanced near-field with a 30 nm diameter spot was generated in the vicinity of the tip via the localized surface plasmons (see schematic in Fig. 8). In this configuration, the tip was permanently in contact with the film surface. The irradiation light intensity was 3 mW/cm^2 (versus 30 KW/cm^2 in reference [4]). A computer controlled piezo stage (P-517, Physik Instruments (PI)) was used to control the position of the laser spot. The induced surface deformation was observed by another AFM (SPA-400, SEIKO Instruments Inc.) which was operating in the tapping mode to eliminate the mechanical deformation of the films by the cantilever itself.

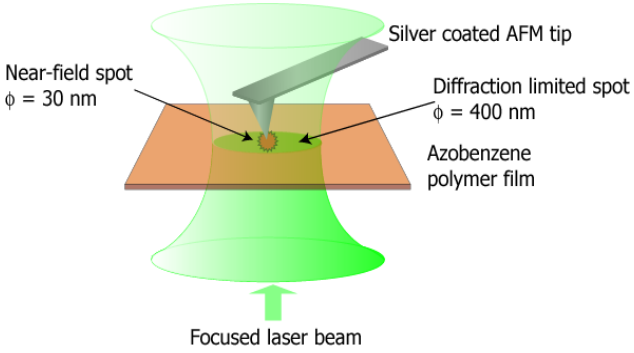

Figure 8: Schematic of the near-field surface deformation configuration.

Figure 9 shows the AFM image of the surface deformation which was induced with and without the silver coated tip. The irradiation intensity and the exposure time were 3 mW/cm^2 and 10 s, respectively; an irradiation dose which permitted fabrication by only the near field component of the light (vide infra). It can be clearly seen from Fig. 3 that the protrusion was induced only with the tip present inside the focused spot, and no deformation was induced when the tip was away from it. The height of the protrusion was found to be 7 nm, and the lateral size was less than the diffraction limited spot size, indicating that the surface deformation was induced

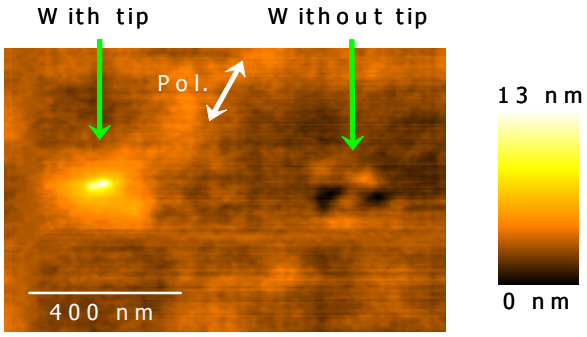

Figure 9: AFM image of the surface deformation induced by irradiation with and without the silver coated AFM tip. The polarization direction and the scale bar are indicated.

by only the near-field component of the light. It is well known that the component of the electric field parallel to the tip axis (E_z) is effectively enhanced near the tip end due to the local surface plasmons [26]. The polymer under the tip is pulled by the optical gradient force which is generated by E_z [25, 27] and formed the nano protrusion. This finding is in good agreement with the experimental results which were recently reported by us, in which we studied nanoscale polymer movement induced by a tightly focused laser beam, and we found that the deformation pattern was strongly dependent on the longitudinal focus position of the laser beam along the optical axis whereby the film is pulled by the optical gradient force, which is due to the focused beam, towards the focus when the beam is focused in air just on top of the sample surface [28]. In the present optical set up, the nano light spot was placed just onto the film surface, and it was kept on it while the protrusion was being formed during irradiation. Here too, the film was pulled by the optical gradient force towards the nanosource of light; e.g. the tip end, much like the case of a tightly focused beam on top of the film (vide infra). The field enhancement effect due to the metal tip allowed for the fabrication of near-field surface features with a light intensity which is as low as 3 mW/cm^2.

Figure 10 shows an expanded view of the surface deformation induced by the near-field irradiation (left side of Fig. 9). It is found that the long axis of the deformation pattern was along a direction which is, not quite, but near the parallel to the incident light polarization. This uniaxial anisotropic polymer movement suggests that the polymer moved along the polarization direction. The full width of half maximum of the protrusion was found to be 65 and 47 nm in the direction nearly parallel (//) and perpendicular (⊥) to the incident light polarization, respectively. Here too, the anisotropic photo-fluidity of the polymer tends to induce a polymer mass movement in the direction parallel to the incident light polarization [5, 27]. This anisotropic polymer movement implies the

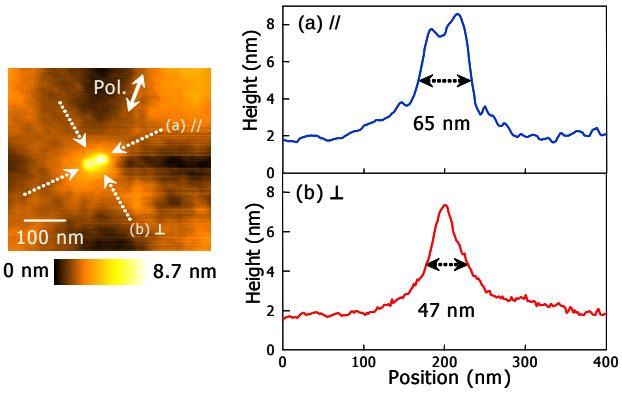

Figure 10: AFM image of the near-field surface deformation (expanded view of the left side of the Fig. 9). The polarization direction and the scale bar are indicated. (a) and (b) show the line profiles in the directions near parallel and near perpendicular to the incident light polarization, respectively. The FWHMs of the surface deformation in the parallel and perpendicular directions are also indicated.

presence under the tip end of a component of the electric field which is near the parallel to the polarization direction [29]. The azo-polymer helps map the electric field just at the tip apex. In fact, the fabricated feature which is due to Ex is much smaller than the one due to Ez and it confirms the well known phenomenon that only the longitudinal component Ez drives efficient oscillations of the surface plasmons polaritons at the metal tip and leads to a strong field enhancement, i.e., enhancement of Ez, at the tip apex [26].

IV-Conclusiuon:

The present work unambiguously demonstrates light induced nanomovement of azo-polymers, and it will trigger future studies addressing the fundamentals and theories of such a phenomenon. In particular, we showed that nanomovement of photosensitive polymers occurs by polarization sensitive photoisomerization in a nanoscale, and we studied polymer nanomovement induced by a tightly focused laser beam and a metal tip enhanced near-field irradiation. In particular, observed a surface deformation which is induced only by the near-field component of the light with a resolution beyond the diffraction limit of light by controlling the irradiation light intensity. The optical gradient force which is generated by a strong Ez pulls the polymer towards the tip end, and anisotropic nanofluidity generated by the relatively small Ex moves the polymer along the polarization direction in the film plane. Future works of near-field nano fabrication on azo-polymers should focus on systematic studies at different irradiation doses and polarization states and irradiation configurations with respect to the tip axis.

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